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November 3, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Applicant(s): Chang, Tenny; et. al.
Assignee: Cardica, Inc.
Title: System for Preparing a Vessel for Anastomosis
Serial No.: 10/607,524
Examiner: Diane D. Yabut
Docket No.: 133

Filed: June 26, 2003
Group Art Unit: 3734

Transmitted herewith are the following documents in the above-identified application:


- (1) This Transmittal Letter; and
- (2) Applicant-Initiated Interview Request.

Please charge Deposit Account 502108 for any fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being
facsimile transmitted to the United States Patent and
Trademark Office (Box No. (571) 273-8300) on
November 3, 2006.


Brian A. Schar

Respectfully submitted,


Brian A. Schar
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(650) 331-7162

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANT-INITIATED INTERVIEW REQUEST

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In compliance with MPEP 713.01, this request is submitted to the Examiner in advance of the interview, and in a form equivalent to Form PTOL-413A.

The proposed date and time of the interview is November 16th, at 11:30 a.m. The type of interview requested is personal. Tentative participants are Brian Schar, attorney for Cardica, Inc., and Examiner Diane D. Yabut. Supervising Primary Examiner of Art Unit 3734, Michael J. Hayes, is also a tentative participant, at the discretion of Examiner Yabut. An exhibit may be shown: a demonstration model of Cardica's C-Port® distal anastomosis tool and the transfer clamp. A short animation of the operation of that tool may also be shown.

The issues to be discussed include:

(1) patentability of claims 1-5, 8-11, 13-15 and 18-22 over U.S. Pat. No. 6,187,019 to Stefanchik et. al. ("Stefanchik '019") in view of U.S. Pat. No. 6,036,700 to Stefanchik et. al. ("Stefanchik '700") because, among other reasons, neither patent teaches or suggests the claimed transfer clamp; instead, Stefanchik '019 and Stefanchik '700 each disclose a workpiece anastomosis tool; the anastomosis tool and transfer clamp are two separate entities in claim 1, where the anastomosis tool is set forth in the preamble as a workpiece and the separate transfer clamp is positively claimed;

(2) patentability of claims 41-42, 45 and 47-48 over Stefanchik '019 and Stefanchik '700 in view of U.S. Patent Application No. 20010023353 to Vargas et. al. ("Vargas '353") because, among other reasons, Vargas '353 is not available prior art to the present application because Vargas '353 is

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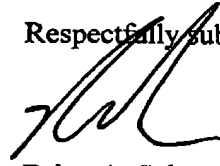
a parent of this application, and because none of Stefanchik '019, Stefanchik '700 and Vargas '353 disclose the claimed transfer clamp; instead, each discloses a workpiece anastomosis tool;

(3) patentability of claims 43-44 in view of the fact that Vargas '353, as a parent of this application, is not available prior art; and

(4) potential amendments to one or more claims in order to facilitate allowance also may be discussed.

Assignee's attorney thanks the Examiner for her time and courtesy. Please contact the undersigned if there are any questions.

Respectfully submitted,



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